

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
22 September 2005 (22.09.2005)

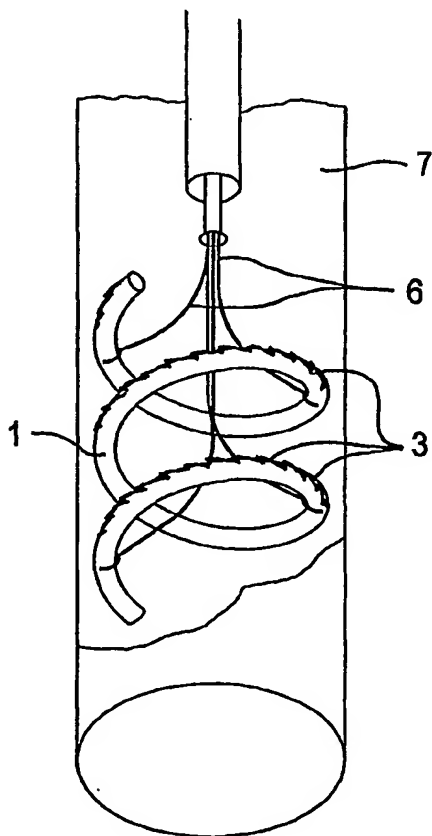
PCT

(10) International Publication Number  
**WO 2005/087139 A1**

- (51) International Patent Classification<sup>7</sup>: **A61F 2/24**
- (21) International Application Number: **PCT/AU2005/000333**
- (22) International Filing Date: **9 March 2005 (09.03.2005)**
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:  
2004901357 15 March 2004 (15.03.2004) AU  
60/559,784 6 April 2004 (06.04.2004) US
- (71) Applicant (for all designated States except US): **BAKER MEDICAL RESEARCH INSTITUTE [AU/AU]; PO Box 6492, St Kilda Road Central, Melbourne, VIC 8008 (AU).**
- (72) Inventors; and  
(75) Inventors/Applicants (for US only): **KAYE, David, Martin [AU/AU]; 16 Hornby Street, Beaumaris, VIC 3193 (AU). POWER, John, Melmouth [AU/AU]; 65 Hannon Street, Williamstown, VIC 3016 (AU). ALFERNESS, Clifton, A [US/US]; 4030 Lake Washington Boulevard North East, Suite 305, Kirkland, WA 98033 (US). BILNEY, Adam, Lucas [AU/AU]; 100 Jensens Lane, Wy Yung, VIC 3875 (AU).**
- (74) Agent: **PHILLIPS ORMONDE & FITZPATRICK; 367 Collins Street, Melbourne, VIC 3000 (AU).**
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): **AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,**

[Continued on next page]

(54) Title: **TREATING VALVE FAILURE**



(57) Abstract: A device (1) for treating valve failure in a patient is provided. The device has one or more engaging zones (3) for engaging the device with the annulus of the valve being treated. The device also has pre-disposition means for changing the geometry of the device to a predetermined configuration which is suitable for constricting the valve annulus. The device is compressible for percutaneous delivery to the valve. When in the predetermined configuration, the engaged device constricts the valve annulus facilitating substantial closure of leaflets of the valve.



PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO,

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

## TREATING VALVE FAILURE

### Field of the Invention

The present invention relates to a method and apparatus for treating valve failure. In particular, the invention relates to a device and method for treating failure of valves of the heart including the tricuspid valve.

### Background to the Invention

The body's circulation is facilitated by the heart, the cardiac pump which ensures that fresh blood is supplied throughout the body delivering nutrients to organs and transporting waste products to the body's filtration systems. The heart, illustrated in section in Figure 5, is a complex organ operating two pumping systems. One pumping system includes the left ventricle (LV) and left atrium (LA) and services the systemic circulation in which oxygenated blood is supplied to the body's organs. Deoxygenated blood is then returned to the right heart. The other pumping system includes the right ventricle (RV) and right atrium (RA) and services the pulmonary circulation, pumping deoxygenated blood from the heart to the lungs where it is re-oxygenated and then returned to the left heart for re-circulation to the body's organs.

Valves in the heart and throughout the body ensure that blood flows constantly in one direction. These include the mitral valve and the tricuspid valve, which separate the atria and ventricles of the left and right hearts respectively. The circulation is dependent on these valves to ensure that the blood is pumped continuously and efficiently through the heart and delivered to the rest of the body.

The tricuspid valve is a complex structure comprising leaflet tissue, chordae tendinae, papillary muscles and a supporting annulus. The tricuspid valve leaflets are a continuous veil of leaflet tissue that attach to the annulus. Three major leaflets are identified, anterior, septal and posterior. The annulus performs multiple functions including maintenance of valvular shape and dimensions.

In some cases, valves in the circulatory system such as the tricuspid and mitral heart valves are deficient or fail. The causes of partial or total heart valve failure include congenital/structural defects, disease and infection. However, the

most common cause of valve failure is dilation of the valve annulus. This occurs as part of the generalised cardiac structural dilatation allied to cardiomyopathy and heart failure. The consequences of heart valve failure can vary depending on the seriousness of the failure, but in most cases the heart's efficiency and the efficiency of the circulatory system is seriously affected and complications often result.

Failure or leakage of the heart valves frequently results in mitral/tricuspid valve regurgitation. In the case of the mitral valve, regurgitation results in back pressure in the lungs, whereas tricuspid valve regurgitation can result in high back pressures in the venous circulation. Clearly, this is undesirable for the health of the heart, as well as for the lungs and other organs of the body. Mitral and tricuspid valve failure can lead to ineffective and/or inefficient cardiac pumping, ventricular and atrial enlargement, pulmonary and/or circulatory hypertension, heart failure and in some cases, death.

Methods exist for repairing and replacing cardiac valves and other valves of the body and treatments for mitral valve regurgitation in particular are available. One form of treatment involves replacement of the entire valve. In other cases, the mitral or tricuspid valve annulus may be repaired by placing a biocompatible annuloplasty ring inside the annulus and suturing the ring to the fibrous tissue of the annulus. In this case, the ring constricts the annulus, enabling the mitral or tricuspid valve leaflets to seal during each pumping cycle and reduce or prevent backflow.

Mitral valve replacement and implantation of the annuloplasty ring both require open heart surgery and are therefore major operations. The patient must be placed under general anaesthetic and undergo cardiopulmonary bypass. Concomitant with the seriousness of such procedures are an increase in morbidity and mortality risk, and a slow and painful period of rehabilitation which follows. Post-operative complications are also common and these include infection, thromboembolism, loss of ventricular function and a need for anticoagulation medication.

The implications of tricuspid valve regurgitation have not been well understood in the past and have only recently become a topic of interest. Because of this, the options available for patients experiencing tricuspid valve regurgitation are limited. The location of the tricuspid valve in the right heart

complicates treatment because it is less easily accessible than the mitral valve, and it has a more complex triple-leaved structure.

The mitral valve is accessible via the coronary sinus/great cardiac vein (CS/GCV) which has a close anatomical relationship with the lateral border of the posterior annulus. The small cardiac vein has a similar relationship with the tricuspid annulus. However, unlike the CS/GCV, this vessel is small, variable in size and absent in approximately 50% of cases. Therefore, reasonable vascular access to the tricuspid annulus is limited to a right atrial approach.

In the light of the fact that the available forms of treatment for patients experiencing mitral and tricuspid valve regurgitation are high risk, expensive and prone to complications, it would be desirable to address the deficiencies of these approaches and develop a method of treating certain types of valve failure, particularly tricuspid valve failure, which is less expensive, less invasive, and therefore less likely to result in complications after the procedure.

The discussion of the background to the invention included herein is intended to explain the context of the present invention. It is not to be taken as an admission or a suggestion that any of the material referred to was published, known or part of the common general knowledge in Australia as at the priority date of any of the claims.

It is an object of the present invention to overcome or ameliorate one or more of the disadvantages of the prior art, or at least to provide a useful alternative.

### **Summary of the Invention**

In a first aspect of the present invention there is provided a device for treating valve failure in a patient, the device including:

- (a) one or more engaging zones for engaging the device with a valve annulus of the valve being treated; and
- (b) pre-disposition means for changing the geometry of the device to a predetermined configuration suitable for constricting the valve annulus;

wherein the device is compressible for percutaneous delivery to the valve, and wherein the engaged device, when in the predetermined configuration, constricts the valve annulus facilitating substantial closure of leaflets of the valve.

Embodiments of the invention may be suitable for treating a range of valves around the body. However, it is particularly desirable that the device is suitable for treating valves of the heart such as those separating the atria and ventricle and in particular, the tricuspid valve.

Preferably, the pre-disposition means is inherent in the material from which the device has been made. This may be in the form of a "shape memory" or other similar characteristic of the material wherein the shape memory corresponds to the predetermined configuration of the device. The predetermined configuration is preferably substantially annular with a diameter suitable for constricting the annulus in such a way that it aids closure of the leaflets of the valve. Alternatively, the pre-disposition means may be auxiliary to part of the device comprising the one or more engaging zones.

To avoid rejection from the body and/or infection or failure of the device, it is preferred that the device is made from a biocompatible material. Preferably, the biocompatible material includes a metal alloy. Desirable metal alloys include alloys of nickel and titanium. One such desirable metal alloy is nitinol, which has "shape memory" properties suitable for use as the pre-disposition means for changing the geometry of the device to the predetermined configuration.

Preferably, the device is expandable to substantially the same size as the untreated valve annulus to facilitate engagement of the one or more engaging zones with the valve annulus. The device may be expandable by way of struts or releasably engageable deployment apparatus configured to expand or stretch the device to substantially match the size and/or shape of the untreated valve annulus.

The engaging zones may take any suitable form. In one embodiment, one or more of the engaging zones includes a tooth for engaging the valve annulus. Alternatively or additionally one or more of the engagement zones may include a barb. Accordingly, the device may include a plurality of teeth and/or barbs along an edge of the device which contacts and engages with the valve annulus. On release or disengagement of the struts or deployment apparatus, the engaged device constricts to the predetermined configuration causing constriction of the engaged valve annulus also, thereby facilitating substantial closure of leaflets of the valve.

Preferably, the predetermined configuration substantially restores the geometry of the valve annulus. It is also preferable that the device is radially compressible by crushing or coiling the device upon itself. In one preferred embodiment, the device includes an open coil of nitinol tubing which can be torsionally compressed into a helix and released when appropriately located, relative to the valve annulus. In such an embodiment the engaging zones are in the form of teeth which are laser-cut in a surface of the tubing. As the tubing unravels, the teeth rotate outward enabling the tube to contact and therefore engage the device with the valve annulus.

In another preferred embodiment, the device is a closed coil of nitinol tubing which, when in the predetermined configuration, closely matches the desired shape of the annulus. When the device is compressed, it is radially compressed or "crushed" upon itself in such a way that it can be transported to the site of deployment percutaneously. The device is then deployed and expanded using struts, to contact and engage the annulus and is then constricted to its pre-determined configuration, thereby constricting the annulus.

In a second aspect of the present invention, there is provided a method of treating valve failure including the steps of:

- (a) transporting a compressed valve repair device to a region of valve failure, said region including a valve annulus; and
- (b) deploying the repair device by:
  - (i) releasing the compressed repair device;
  - (ii) engaging the released repair device with the valve annulus; and
  - (iii) contracting the engaged repair device to a predetermined configuration;

wherein contraction of the engaged repair device to the predetermined configuration constricts the valve annulus thereby facilitating substantial closure of leaflets of the valve.

Preferably, the repair device is transported to the region of valve failure percutaneously. That is, using a catheter or other such lumen. Preferably the catheter or other lumen is sufficiently flexible to enter the patient's circulation through the skin and into the jugular vein or other suitable blood vessel and be directed to the tricuspid valve or other heart-valve being treated, or another valve of the body. Preferably, when the tricuspid valve is being treated, the

device is transported to the tricuspid valve annulus through the atrium and deployed where the annulus forms a "shelf" on the atrial side of the valve.

In one preferred embodiment, the released repair device is expanded prior to engaging the repair device with the valve annulus. In such an embodiment it is preferred that the repair device is expanded to substantially the same size and/or shape as the untreated valve annulus, thereby facilitating engagement of the repair device with the valve annulus substantially evenly around the valve annulus. In one embodiment, the step of expanding the released repair device is facilitated by disengageable expanding means associated with a deployment apparatus. Such disengageable expanding means may be in the form of struts, hooks, a coil or other suitable means which can force the repair device to expand or "open up" to facilitate engagement of the device with the dilated valve annulus, and then be disengaged from the repair device after deployment, and safely removed from the patient.

It is preferable that the repair device is substantially annular in shape with one or more engaging zones. Preferably the annular shape substantially matches the geometry of the valve annulus being treated, and the predetermined configuration substantially matches the desired post-treatment geometry of the valve annulus. In one preferred embodiment, the device is engageable with the valve annulus by rotating the device in a first rotational direction (i.e. clockwise or counter-clockwise) whilst making contact with the inside the valve annulus, enabling the engaging zones which may be in the form of one or more teeth or barbs to engage with the annulus thereby securing the repair device in position.

Preferably the repair device is deployed from a repair device deployment apparatus which is introduced to the patient percutaneously via a suitable lumen such as a cardiac catheter. It is therefore desirable that the repair device is compressed whilst being delivered to the deployment site, and released from the compressed state once the repair device is deployed. In such an embodiment, deployment of the repair device from the deployment apparatus may occur by rotating the device in a second rotational direction (i.e. counter-clockwise or clockwise) so as to allow correct positioning of the repair device relative to the valve annulus before rotating in the opposite direction to engage the device with the annulus.



Prior to engaging the repair device with the valve annulus, it may be desirable to expand the repair device to facilitate better engagement of the engaging zones with the valve annulus. After the expanded repair device has been engaged with the valve annulus, it may then be constricted to the predetermined configuration.

In a third aspect of the present invention, there is provided apparatus for deploying a valve repair device in a patient, the apparatus including:

- (a) positioning means to position the repair device relative to the valve;
- (b) coupling means for releasably coupling the repair device to the positioning means; and
- (c) releasably engageable expansion means configured to expand the repair device to substantially the same size as an untreated valve annulus;

wherein the positioning means and the coupling means are configured to deliver the repair device to a region of the valve through a percutaneous lumen and the releasably engageable expansion means is configured to expand the repair device to facilitate engagement of the repair device with an annulus of the valve being repaired.

Preferably the releasably engageable expansion means is released from the repair device and removed from the patient through the percutaneous lumen. This expansion facilitates engagement of one or more engaging zones of the repair device with the valve annulus. The releasably engageable expansion means may take any suitable form. In one embodiment, the expansion means include one or more struts associated with the coupling means and configured to expand the repair device so that it substantially matches the internal diameter of the untreated valve annulus.

Preferably the apparatus includes retaining means to retain the position of the repair device relative to the valve while the device is being expanded and/or engaged with the valve annulus. The retaining means may be a hook or anchor or a temporary guide wire with a helical screw at the tip or other suitable means capable of stabilising the device and deployment apparatus during deployment and implantation of the repair device and removed afterwards. This may occur by temporarily embedding the retaining means in an endocardial wall or the apex of the ventricle or other nearby tissue, without causing serious damage to that tissue.

**Brief description of the drawings**

The present invention will now be described in greater detail with reference to the accompanying drawings. It is to be understood that the particularity of the accompanying drawings does not supersede the generality of the preceding description of the invention.

Figures 1A and 1B illustrate a device according to an embodiment of the invention, implanted in a valve annulus.

Figure 2 illustrates a device according to an embodiment of the invention in a compressed state, inside a percutaneous delivery lumen.

Figure 3 illustrates the device of Figure 2 in an expanded state, showing releasably engageable expansion means.

Figure 4 illustrates an alternative embodiment of the invention wherein the pre-disposition means is provided in the form of struts connected so as to maintain the predetermined configuration of the device.

Figure 5 illustrates a simplified cross section of the heart.

Figures 6A and 6B illustrate an alternative valve repair device according to another embodiment of the invention.

**Detailed Description**

Referring firstly to Figure 1A there is shown a device (generally shown as 1) for treating failure of a valve such as the tricuspid or mitral valve of the heart. The device includes one or more engaging zones 3 for engaging the device with the valve annulus 2, the fibrous ring of tissue from which the leaflets of the valve extend. The device also includes pre-disposition means which, in a preferred embodiment of the invention, is inherent in the material from which the device is manufactured. In such an embodiment, the material is preferably nitinol, a shape memory alloy which can be "programmed" to have a pre-determined configuration when situated, unconfined, in an environment having a particular temperature. Implantation in the human body (or other animal body) is one such environment in which devices formed from nitinol or equivalent material exhibit these "shape memory" characteristics, realising their pre-determined configuration.

In one preferred embodiment, the device 1 is formed from a piece of nitinol tubing which has a shape memory that corresponds to a predetermined configuration suitable for constricting the valve annulus 2. The shape memory is obtained using treatment by lowering the temperature of the nitinol coil and using a "salt pot" as is known in the art. An approximation of one such suitable shape is illustrated in Figure 1A.

After treatment to program the nitinol tubing with its "shape memory", the tubing can be manipulated when nitrogen-cooled to a very low temperature and compressed or wound upon itself to form a helix. Compression of the device in this manner enables it to be inserted into a lumen with a small bore which facilitates percutaneous delivery to the valve for implantation. One suitable form of lumen is a catheter 7 as illustrated in Figures 2 and 3.

Figure 2 shows the device 1 of Figures 1A and 1B in a compressed form for percutaneous delivery to the valve using a cardiac catheter 7 or other suitable lumen. When the device is released from catheter 7 it will "spring" back to its shape memory configuration upon reaching a temperature range which includes body temperature. After implantation, the device will retain its predetermined configuration or shape memory whilst engaged with the valve annulus 2, thereby constricting the annulus to a geometry which facilitates substantial closure of the leaflets of the valve.

Preferably, the engagement zones 3 are teeth which have been laser cut into the tubing forming a type of "barbed spring" when the tubing is in the compressed state. When the compressed device is released from catheter 7 at the site of the valve, it relaxes to its predetermined configuration and the surface of the tubing rotates outward causing the teeth to engage with the annulus 2. Preferably, components of the apparatus required to perform the procedure (i.e. position and engage the device) are deployed over a guide wire (not shown) if necessary and through the catheter 7 to the site of valve repair, along with the device itself. Use of a guide wire system is desirable to assist location and orientation of the device, relative to the valve and the valve annulus.

A guiding system may be used to orient the device and to deploy it in position, so that it engages with the valve annulus. Preferably, engagement of the device with the valve annulus occurs on the shelf of tissue comprising part

of the annulus on the atrial side of the tricuspid valve. In one embodiment, the guide wire may include anchor means to engage tissue proximal (preferably just below) the annulus to reduce movement of the deployment apparatus and hence the device relative to the valve annulus during implantation of the device (i.e. before the device is engaged with the valve annulus).

In a preferred embodiment, catheter 7 comprises part of a deployment apparatus. In the embodiment shown in Figure 2, the deployment apparatus also includes expansion means shown in the form struts 6 which are used to expand the device 1 once it has been ejected from catheter 7 and released from the compressed state. Struts 6 increase the device's diameter to greater than that of the predetermined configuration and substantially match that of the untreated valve annulus. This enables engagement zones 3 to be more evenly distributed around the internal surface of annulus 2 and improves the evenness with which the valve annulus is constricted after implantation of the device. The expanded device 1 is shown in Figure 3. Struts 6 may be manipulated by a surgeon or physician performing the treatment, and released from the device once the device has been engaged with the annulus for removal from the patient through catheter 7.

It may be possible to engage the device with the valve annulus without expanding the device. With care and skill, the device 1 may be moved relative to the annulus 2 in such a way that the engaging zones engage parts of the annulus to form pleats or gathers around the annulus. This may result in a substantially even distribution of the constriction force. It is to be understood that other suitable engagement means may be provided, along with other suitable alternatives for the expansion means which, in the embodiments illustrated, are provided in the form of struts 6.

For treatment of the tricuspid valve, it is preferred that catheter 7 enters the body through the jugular vein and snakes its way into the superior vena cava and right atrium to access the tricuspid valve annulus. Surgeons or physicians performing the procedure may use any suitable imaging technique to assess the region of the valve annulus and position and engage the valve repair device and deployment apparatus. X-ray fluoroscopy is one imaging technique which may be used to assist in accurately positioning the device. Alternatively or additionally, surgeons and physicians may use haptic feedback and/or

ancillary devices delivered to the region via catheter 7 to position and engage the device 1 relative to the valve annulus 2.

Figure 4 illustrates an alternative embodiment of the invention in which the pre-disposition means is provided in the form of three struts 9 connected in such a way that they maintain the predetermined configuration of the device. These struts may be connected at nexus 10 to facilitate adjustment of the device by, say turning clockwise or counter clockwise to increase or decrease the effective diameter of the device and therefore engage and constrict the annulus.

A further embodiment of the invention is illustrated in Figures 6A and 6B. In this embodiment, the valve repair device is a closed ring, rather than an open one, and is radially compressed by "crushing" the ring upon itself. This embodiment of the device is illustrated in its pre-determined configuration in Figure 6A and in its compressed state in Figure 6b. The compressed device is suitable for percutaneous delivery to the site of deployment via lumen 7.

Advantageously, a patient having a valve treated using embodiments of the present invention does not require general anaesthetic. Rather, he or she can be treated with the valve being accessed percutaneously while the patient is sedated. Clearly, this is beneficial to the patient as the recovery time is significantly reduced and the device could be implanted during an out-patient procedure. Use of a sedative also reduces the risk of mortality which is associated with use of general anaesthetic in elderly patients. Percutaneous treatment of valve failure according to the invention eliminates the need for open heart surgery which has previously been required for treating heart valve failure and this clearly enables patients treated according to the invention to recover more quickly with reduced risk of infection, surgical complications and mortality, and the discomfort which accompanies open heart or other major surgery.

It is to be understood that materials suitable for manufacturing the inventive device are not intended to be limited to nitinol or other alloys of nickel and titanium. Rather, the scope of the invention is intended to encompass devices manufactured from any suitable biocompatible material or combination of materials. Such materials may include stainless steels, ceramics and synthetic materials which can be changed in configuration to facilitate

percutaneous delivery to the valve and realise a predetermined configuration which facilitates constriction of the valve annulus. Whilst in most cases it would be desirable to restrict the annulus in such a way that a healthy annulus geometry is restored, in many serious cases of heart valve failure it may be sufficient to achieve an annulus reduction of 20% or less to restore a degree of valve function and thereby improve the quality of life of the patient. Another desirable advantage of implantation of such a device is prevention of further dilation of the valve annulus.

Implantation of the valve repair device need not be limited to valves of the heart. It may also be desirable to repair degradation or dilation of the annulus of other valves around the body so as to treat or reduce valvular regurgitation in the vessels affected. Other valves for which the invention may be suitable may include but are not limited to the valves of the oesophagus, urinary tract and intestinal tract.

It is to be understood that various modifications, additions and/or alterations may be made to the parts previously described without departing from the ambit of the present invention as defined in the claims appended hereto.

## Claims

1. A device for treating valve failure in a patient, the device having:
  - (a) one or more engaging zones for engaging the device with a valve annulus of the valve being treated; and
  - (b) pre-disposition means for changing the geometry of the device to a predetermined configuration suitable for constricting the valve annulus;wherein the device is compressible for percutaneous delivery to the valve, and wherein the engaged device, when in the predetermined configuration, constricts the valve annulus facilitating substantial closure of leaflets of the valve.
2. A device for treating valve failure according to claim 1 wherein the device is expandable to substantially the same size as the untreated valve annulus to facilitate engagement of the one or more engaging zones with the valve annulus, and capable of returning to the predetermined configuration.
3. A device for treating valve failure according to claim 1 or claim 2 wherein the device is made from a biocompatible material and the pre-disposition means includes a shape memory inherent in the material, said shape memory corresponding to the predetermined configuration.
4. A device for treating valve failure according to claim 3 wherein the biocompatible material includes a metal alloy.
5. A device for treating valve failure according to claim 4 wherein the alloy includes nickel and titanium.
6. A device for treating valve failure according to any one of the preceding claims wherein one or more of the engaging zones includes a tooth for engaging the valve annulus.
7. A device for treating valve failure according to any one of the preceding claims wherein one or more of the engaging zones includes a barb.

8. A device for treating valve failure according to any one of the preceding claims wherein the device is substantially annular and torsionally compressible by coiling the device upon itself.

9. A device for treating valve failure according to any one of claims 1 to 7 wherein the device is substantially annular and radially compressible.

10. A device for treating valve failure according to any one of the preceding claims wherein the device is a nitinol coil and the engaging zones include laser-cut teeth formed in a surface of the device, said surface being configured to engage with the valve annulus.

11. A device for treating valve failure according to any one of the preceding claims wherein the valve being treated is a valve of the heart.

12. A device for treating valve failure according to any one of the preceding claims wherein the valve is the tricuspid valve.

13. A method of treating valve failure including the steps of:

(a) transporting a compressed valve repair device to a region of valve failure, said region including a valve annulus; and

(b) deploying the repair device by:

(i) releasing the compressed repair device;

(ii) engaging the released repair device with the valve annulus; and

(iii) contracting the engaged repair device to a predetermined configuration;

wherein contraction of the engaged repair device to the predetermined configuration constricts the valve annulus thereby facilitating substantial closure of leaflets of the valve.

14. A method of treating valve failure according to claim 13 wherein the repair device is transported percutaneously.



15. A method of treating valve failure according to claim 13 or claim 14 further including the step of, prior to engaging the repair device with the valve annulus, expanding the released repair device to substantially the same size as the untreated valve annulus to facilitate engagement of the repair device with the valve annulus.

16. A method of treating valve failure according to claim 15 wherein the step of expanding the released repair device is facilitated by disengageable expanding means associated with a deployment apparatus.

17. A method of treating valve failure according to any one of claims 13 to 16 wherein the repair device is annular with one or more engaging zones, engageable with the valve annulus by rotating the device in a first rotational direction.

18. A method of treating valve failure according to claim 17 wherein the repair device is deployed from a repair device deployment apparatus by rotating the device in a second rotational direction.

19. A method of treating valve failure according to any one of claims 13 to 18 wherein the valve being treated is a valve of the heart.

20. A method of treating valve failure according to claim 19 wherein the valve is the tricuspid valve.

21. Apparatus for deploying a valve repair device in a patient, the apparatus including:

- (a) positioning means to position the repair device relative to the valve;
- (b) coupling means for releasably coupling the repair device to the positioning means; and
- (c) releasably engageable expansion means configured to expand the repair device;

wherein the positioning means and the coupling means are configured to deliver the repair device to a region of the valve through a percutaneous lumen and the

releasably engageable expansion means facilitates engagement of one or more engaging zones of the repair device with the valve annulus.

22. Apparatus for deploying a valve repair device according to claim 21 wherein the releasably engageable expansion means includes one or more struts configured to expand the periphery of the repair device so as to substantially match the internal diameter of the untreated valve annulus.

23. Apparatus for deploying a valve repair device according to claim 21 or claim 22 wherein the device is a valve repair device according to any one of claims 1 to 12.

24. A device for treating valve failure in a patient substantially as hereinbefore described with reference to any one of the embodiments illustrated in the Figures 1 to 4 and Figures 6A and 6B.

25. A method for treating valve failure in a patient substantially as hereinbefore described with reference to any one of the embodiments illustrated in Figures 1 to 4 and Figures 6A and 6B.

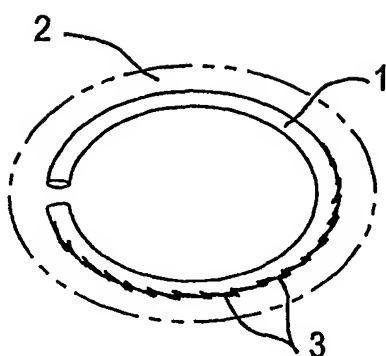


FIG 1A

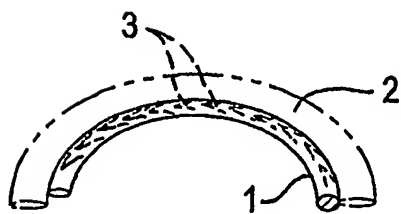


FIG 1B

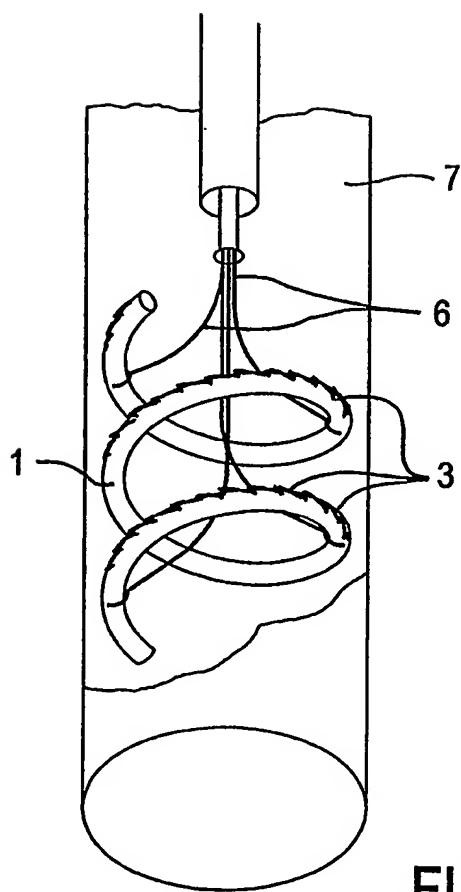


FIG 2

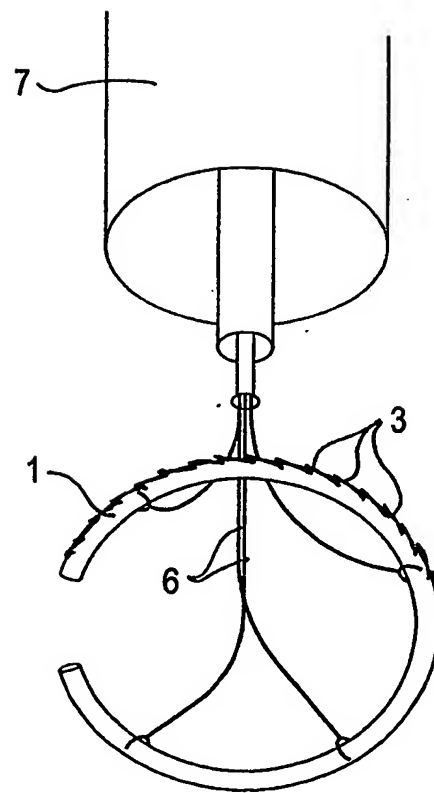


FIG 3

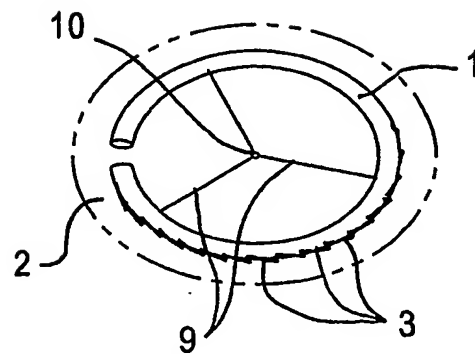


FIG 4

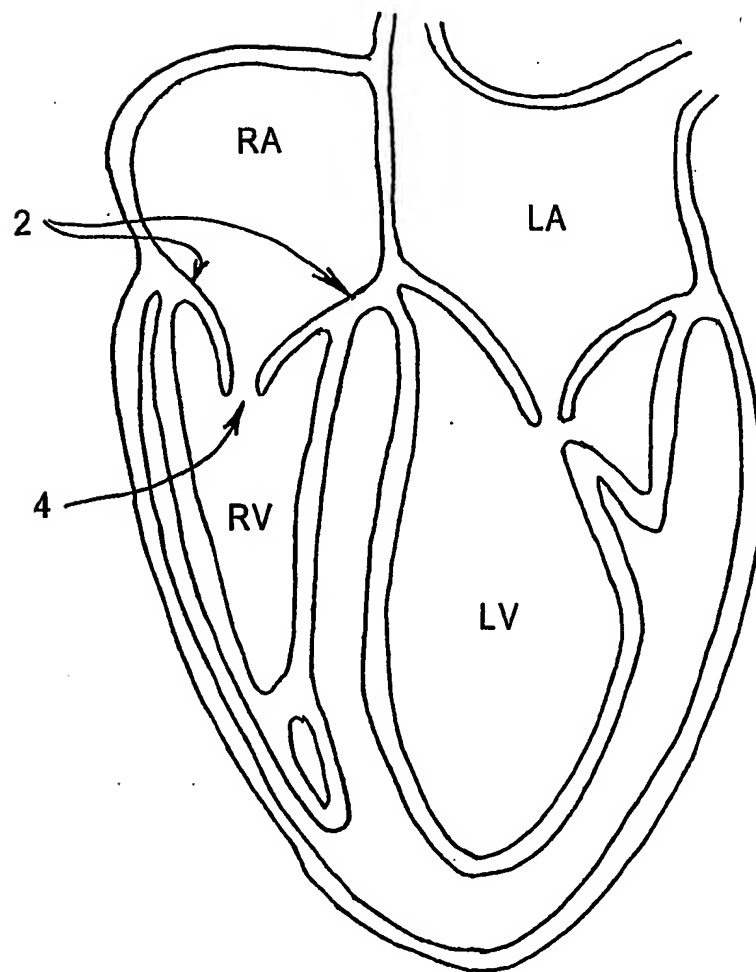


FIG 5



FIG 6A

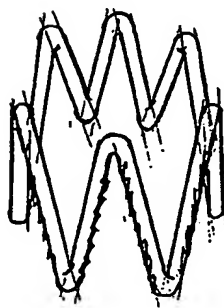


FIG 6B

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2005/000333

## A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. <sup>7</sup>: A61F 2/24

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

DWPI +keywords: annuloplasty, ring, barb and similar terms

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	WO 2004/103223 A1 (THE CLEVELAND CLINIC FOUNDATION) 2 December 2004 Abstract	1-7, 11-16, 19-23
X	US 6,419,696 B1 (ORITZ et al) 16 July 2002 Figures 6 and 7 and column 6 lines 14 to 46).	1-8, 11, 12, 21-23
X	WO 2004/019826 A1 (MD3 TECHNOLOGIES, LLC) 11 March 2004 page 13 line 17 and page 17 lines 22 to 25	1-9, 11-17, 19-23
A	WO 2003/053289 A1 (MILO) 3 July 2003 Abstract	



Further documents are listed in the continuation of Box C



See patent family annex

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

14 April 2005

Date of mailing of the international search report

22 APR 2005

Name and mailing address of the ISA/AU

AUSTRALIAN PATENT OFFICE  
PO BOX 200, WODEN ACT 2606, AUSTRALIA  
E-mail address: pct@ipaustralia.gov.au  
Facsimile No. (02) 6285 3929

Authorized officer

XAVIER GISZ

Telephone No : (02) 6283 2064

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2005/000333

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6,174,332 B1 (LOCH et al) 16 January 2001 Abstract	
A	US 2001/0010017 A1 (LETAC et al) 26 July 2001 Abstract	
A	WO 2003/028558 A2 (AMPLE MEDICAL CORPORATION) 10 April 2003 Abstract	



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU2005/000333

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
WO	2004103223	US	2004243230				
US	6419696	AU	65225/01	CA	2414022	CN	1440261
		EP	1296618	US	6730121	US	2002173841
		US	2004167620	WO	0203892		
WO	2004019826	AU	2003265850	AU	2003265852	US	2004148021
		US	2004249453	WO	2004019816		
WO	03053289	EP	1465555	US	2004236419		
US	6174332	AU	15390/99	EP	1037575	WO	9929269
US	2001010017	AU	57649/98	BR	9902575	CA	2276527
		DE	19829568	EP	0850607	EP	0967939
		EP	0968870	JP	2000025489	US	2001007956
		US	2003014104	US	2003109924	WO	9829057
WO	03028558	AU	2003277115	AU	2003277116	AU	2003277118
		CA	2455444	CA	2462254	EP	1434542
		EP	1434621	US	2004127981	US	2004127982
		US	2004138745	US	2004243107	US	2004260393
		US	2005010287	US	2005055089	WO	03028802
		WO	2004030568	WO	2004030569	WO	2004030570
Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001							
END OF ANNEX							